

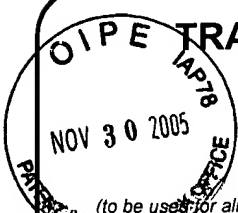
09/24/05

PTO/SB/21 (09-04)


Approved for use through 07/31/2006. OMB 0651-0031  
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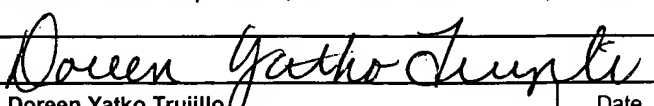
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 <b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>	Patent Number	6,797,494	
	Issue Date	September 28, 2004	
	First Named Inventor	Michael Antoniou et al.	
	Art Unit	1632	
	Examiner Name	Anne Marie Falk	
Total Number of Papers in This Submission	Three	Attorney Docket Number	HARR0001-100

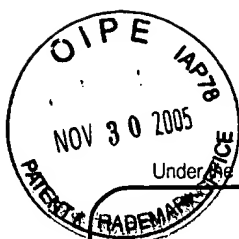
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> <b>Petition Fee under 37 C.F.R. § 1.17(f), (g) and (h) Transmittal Form</b>  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input checked="" type="checkbox"/> <b>Petition to Expunge Assignment Records (Copy For Filing)</b> <input checked="" type="checkbox"/> <b>Petition to Expunge Assignment Records (Copy For Destruction)</b> <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Cozen O'Connor, P.C.		
Signature			
Printed Name	Doreen Yatko Trujillo		
Date	November 28, 2005	Reg. No.	35,719

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Doreen Yatko Trujillo	Date	November 28, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PETITION FEE**  
**Under 37 CFR 1.17(f), (g) & (h)**  
**TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Patent Number	6,797,494
Issue Date	September 28, 2004
First Named Inventor	Michael Antoniou
Art Unit	1632
Examiner Name	Anne Marie Falk
Attorney Docket Number	HARR0001-100

**Enclosed is a paper filed under 37 CFR 1.59 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$200.00 is enclosed.**

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, (e.g., Mail Stop Petition), if applicable. For transmittal of petition fees under 37 CFR 1.17(i) see form PTO/SB/17i.

**Payment of Fees** (small entity amounts are NOT available for the petition fees)

- ☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-1275 :  
☒ processing fee under 37 CFR 1.17(f), (g) or (h) ☒ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☐ Check in the amount of \$ \_\_\_\_\_ is enclosed.☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.**Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462**

For petitions filed under:

- § 1.53(e) - to accord a filing date.
- § 1.57(a) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for.
- § 1.183 - to suspend the rules.
- § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

**Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463**

For petitions filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.47 - for filing by other than all the inventors or a person not the inventor.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 - for review of refusal to publish a statutory invention registration.
- § 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

**Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464**

For petitions filed under:

- § 1.19(g) - to request documents in a form other than that provided in this part.
- § 1.84 - for accepting color drawings or photographs.
- § 1.91 - for entry of a model or exhibit.
- § 1.102(d) - to make an application special.
- § 1.138(e) - to expressly abandon an application to avoid publication.
- § 1.313 - to withdraw an application from issue.
- § 1.314 - to defer issuance of a patent.

  
Signature

Doreen Yatko Trujillo

Typed or printed name

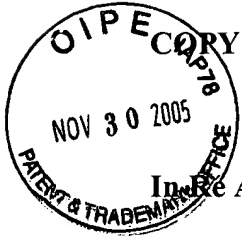
  
Date

35,719

Registration No., if applicable

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor Application of: Antoniou and Grosveld Confirmation No. 7091**

**U.S. Patent No.: 6,797,494**

**Issued: September 28, 2004**

**Application Serial No.: 09/247,054**

**Art Unit: 1632**

**Filing Date: February 9, 1999**

**Examiner: Anne Marie Falk**

**For: SELF-REPLICATING EPISOMAL EXPRESSION VECTORS CONFERRING  
TISSUE-SPECIFIC GENE EXPRESSION**

**Customer No.: 34132**

**Docket No.: HARR0001-100**

I, Doreen Yatko Trujillo, Registration No. 35,719 certify that this correspondence is being deposited with the U.S. Patent Postal Service as First Class mail in an envelope addressed to Mail Stop Petition, United States Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

On November 28, 2005  
Doreen Yatko Trujillo  
Doreen Yatko Trujillo, Reg. No. 35,719

**MAIL STOP PETITION**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**PETITION TO EXPUNGE ASSIGNMENT RECORDS**

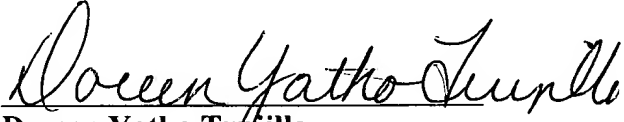
Pursuant to MPEP § 323.01(d), patentees hereby petition the Director to expunge a previously recorded license agreement from the Patent Office records. The license agreement was recorded in error at **Reel \_\_\_\_\_, Frame \_\_\_\_\_**.

The normal corrective procedures outlined in MPEP § 323.01(a) through § 323.01(c) will not provide the petitioner with adequate relief. These corrective procedures are for correcting typographical errors in cover sheets (323.01(a)), for correcting typographical errors in recorded assignment documents (or other documents affecting title) (323.01(b)), and for correcting the improper recordation of an assignment document or change of name against the wrong application/patent number (323.01(c)), none of which is the present case.

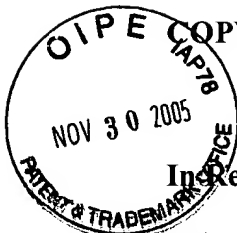
The integrity of the assignment records will not be affected by this expungement. The expungement of the license agreement will have no effect on the title of the present patent. As a review of the recorded document reveals, the license agreement did not amount to an assignment. Indeed, as the Office is aware, unlike assignments, applicants/patentees are not required to record license agreements for them to be valid against subsequent licensees. MPEP §313.

This request is being made to attempt to recover/maintain the confidential nature of the license agreement. Accordingly, patentees are submitting herewith a copy of this petition for filing that does not include the Reel and Frame number of the document patentees wish to have expunged and request that the copy containing the Reel and Frame number be destroyed.

Date: November 28, 2005

  
**Doreen Yatko Trujillo**  
**Registration No. 35,719**

COZEN O'CONNOR, P.C.  
1900 Market Street, 6<sup>th</sup> Floor  
Philadelphia, PA 19103-3508  
(215) 665-5593 – Telephone  
(215) 701-2005 – Facsimile



**COPY FOR DESTRUCTION**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor Application of: Antoniou and Grosveld      Confirmation No. 7091**

**U.S. Patent No.: 6,797,494**

**Issued: September 28, 2004**

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12/01/2005 TBESHAH1 00000019 501275 09247054

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On November 28, 2005  
Doreen Yatko Trujillo  
Doreen Yatko Trujillo, Reg. No. 35,719

**MAIL STOP PETITION**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**PETITION TO EXPUNGE ASSIGNMENT RECORDS**


Pursuant to MPEP § 323.01(d), patentees hereby petition the Director to expunge a previously recorded license agreement from the Patent Office records. The license agreement was recorded in error at **Reel 015962, Frame 0828**.

The normal corrective procedures outlined in MPEP § 323.01(a) through § 323.01(c) will not provide the petitioner with adequate relief. These corrective procedures are for correcting typographical errors in cover sheets (323.01(a)), for correcting typographical errors in recorded assignment documents (or other documents affecting title) (323.01(b)), and for correcting the improper recordation of an assignment document or change of name against the wrong application/patent number (323.01(c)), none of which is the present case.

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